

Interview Summary

Application No.

09/366,749

Applicant(s)

VOIGT ET AL.

Examiner

Sam Rimell

Art Unit

2175

All participants (applicant, applicant's representative, PTO personnel):

(1) Sam Rimell.

(3) Chris Voigt.

(2) Mary McCullough.

(4) _____.

Date of Interview: 08 January 2002.

Type: a) ☐ Telephonic b) ☐ Video Conference

c) ☒ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.

If Yes, brief description: _____.

Claim(s) discussed: 1.

Identification of prior art discussed: _____.

Agreement with respect to the claims f) ☒ was reached. g) ☐ was not reached. h) ☐ N/A.

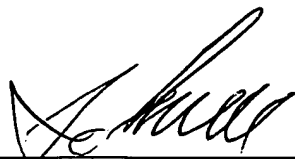
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Examiner suggested claiming method of establishing initial user interface for health care records and then adding, for example, object oriented programming to the programming supporting the initial user interface. Examiner will consider proposals addressing these features. Examiner agreed that Myers et al. only illustrates final interface, and does not address the concept of adding programming to the programming supporting an initial interface.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

i) ☐ It is not necessary for applicant to provide a separate record of the substance of the interview(if box is checked).

Unless the paragraph above has been checked, THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.


Examiner's signature, if required

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case unless both applicant and examiner agree that the examiner will record same. Where the examiner agrees to record the substance of the interview, or when it is adequately recorded on the Form or in an attachment to the Form, the examiner should check the appropriate box at the bottom of the Form which informs the applicant that the submission of a separate record of the substance of the interview as a supplement to the Form is not required.

It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

26. (NEW) An application programming interface for enabling an executable application - executed and used by healthcare workers for interactively logging into a healthcare system and viewing healthcare records managed by the healthcare system - to access a document management system managing images of patient documents, where the application programming interface (API) comprises:

a plurality of display controls and objects, the API providing authorization and access to the patient document management system,

where the API retrieves patient documents from the document management system and displays the patient documents from within the healthcare system, and

where adding code to the application that invokes at least some of the controls or objects enables the application to log into the document management system, instantiate an instance of the viewer control, query for patient documents, and display patient documents thereby enabling the application to display patient documents and healthcare records simultaneously.

25. (NEW) An apparatus, comprising:

an autonomous healthcare system managing healthcare records and providing login access to healthcare client applications used for accessing the records ;

the healthcare client applications, each having a user interface specific to the healthcare system, and capable of providing user interface access dedicated to the healthcare system;

an autonomous document image management system managing images of patient documents and providing login access to document client applications used for accessing the images;

the document management client applications, each having a user interface specific to the document image management system, and capable of providing user interface access dedicated to the document image management system; and

an integrated client application providing a single user interface for accessing the documents in the healthcare system and the images in the document image management system, and establishing within the integrated client application a consolidated view of records of a given patient and images of the given patient.

1. (TWICE AMENDED) A patient records and document access system, comprising:

a healthcare system, in use by users [with different roles in an organization] at an initial [a prior] time, maintaining patient records and providing user authorization for access to the maintained patient records through a user interface application also in use at the initial time, where the patient records are accessed by the users with the user interface application;

a document management system separate from said healthcare system, maintaining patient documents and providing user authorization for access to the maintained patient documents, where the user authorization and access provided by the document management system is separate from the user authorization and access provided by the healthcare system; and

an [integration] application program interface (API) [commonly usable by the users acting in their different roles including modifications to] invoked by code added after the initial time to the user interface application of said healthcare system, the [modifications] added code and API enabling the user interface application to handle a request for access to a patient document maintained by the document management system and viewing of patient documents, and the modified user interface application automatically accessing said document management system responsive to the request, obtaining the patient document, and providing the obtained patient document to the user interface application for viewing in an interface control invoked with the added code, [where the modifications are made after the prior time, and] where the user interface application [at] before the [prior] initial time [could not] was not capable of automatically [access] accessing the document management system.